

# Subrecipient Monitoring

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# Common Courtesies

- Interactive Session – Share your experiences
- Be present mentally
- Listen with an open mind
- Ask questions
- Non-judgmental approach
- Listen to others
- Openness
- Make no assumptions



# Overview

## Subrecipient Monitoring

- Purpose of Subrecipient Monitoring
- Legislative Background
- National Monitoring Standards
- Monitoring of Subrecipients
- Subrecipient Site Visits
- Kahoot!

# Purpose of Monitoring

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- Required by HRSA to ensure compliance with statutory requirements, regulations and guidance
- Review and test compliance with applicable laws, regulations and policies
- Assess efficiency of operations and effectiveness in achieving program results
- Makes recommendations to enhance agency operations, promote economy, efficiency and compliance with Federal and programmatic requirements



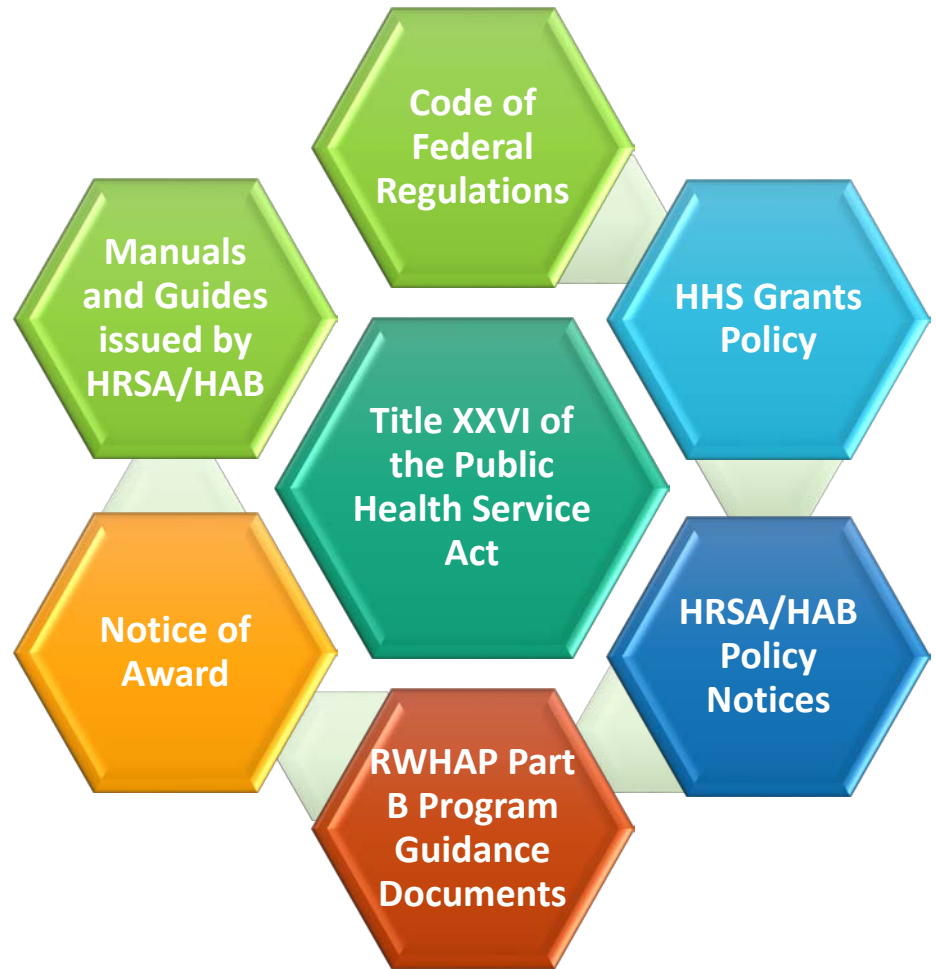
# Legislative Background

The uniform grants rules, codified at 2 CFR Part 200, clarify the role of the grantee with regard to monitoring and reporting program performance (2 CFR Part 200.328) and sub-recipient monitoring and management (2 CFR Part 200.331). “The non-Federal entity is responsible for oversight of the operations of the Federal award supported activities”. The non-Federal entity must monitor its activities under Federal awards to assure compliance with applicable Federal requirements and performance expectations are being achieved. Monitoring by the non-Federal entity must cover each program, function or activity. See also 2 CFR Part 200.331 for subrecipient monitoring requirements.



# National Monitoring Standards

- Developed by HRSA/HAB to provide clear guidance to recipients regarding monitoring expectations of recipients and subrecipients
- Define fiscal and program criteria to be monitored for compliance



# Monitoring of Subrecipients

- Recipients are required to conduct annual site visits to subrecipients
  - Include fiduciary agents, MOAs and MOUs
  - Partnership with other RWHAP Parts
  - **Exception:** Recipients with an approved Site Visit Exemption
- Monitor process used to reinforce mutual obligations between funder and provider
- Site Visit Team:
  - Fiscal
  - Administrative/Programmatic
  - Clinical Quality Management



# Subrecipient Site Visit

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- Policies and Procedures
  - Monitoring manual
- Develop a schedule
- Site Visit Tools
  - Administrative, Program, and Fiscal
  - Reviewed and updated as needed
  - Report of findings and recommendations
  - Follow-up Process





# Interactive Session



# Kahoot! Instructions

- Connect to Wi-Fi
- Go to Kahoot.it
- Enter Game Pin
- Create Nickname and select “OK, go!”



# Questions



# Evaluation Survey

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- Please use the following link to complete a short evaluation of this session
- The link may also be found on your agenda

[https://www.surveymonkey.com/r/ARSV2017\\_SubMonitoring](https://www.surveymonkey.com/r/ARSV2017_SubMonitoring)



# Contact Information

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